

PATENT Docket No. ACCE.P0004

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John Stattler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Ho Wing Sit

Serial No.: 09/451,575

Filing Date: 11/30/1999

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit with this Information Disclosure Statement the attached Form PTO-1449, and copies of the documents listed in the 1449 form for consideration by the Examiner. The Examiner is requested to make these documents of record. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to 03/29/2004 SSESHE1 00000013 09451575

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the examination of this application does not exist; (iii) the information, protocols, results and the

like reported by third parties are accurate or enabling; or (iv) the above information constitutes

prior art to the subject invention.

This Information Disclosure Statement is submitted after receipt of a first Office Action

on the merits but before the Final Office Action. Accordingly, a fee is required. The requisite fee

is attached.

However, in the unlikely event that the Patent Office determines that additional fees,

extension and/or other relief is required, applicant petitions for any required relief including

extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions

and/or other fees due in connection with the filing of this document to Deposit Account No. 50-

1128 referencing ACCE.P0004. However, the Assistant Commissioner is not authorized to

charge the cost of the issue fee to the Deposit Account.

Dated: March 24, 2004

Respectfully submitted,

John Stattler

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Serial No. 09/451,575 Docket No. ACCE.P0004

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Signature

John Stattler

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**FORM** 

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09/451,575

11/30/1999

Ho Wing Sit

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		Examiner Name		<none yet=""></none>						
Total Number of Pages in This Submission			Attorney Docket Number		ACCE.P0004					
Enclosures (Check all that apply)										
Fee Transmittal Form		Assignment Papers (for an application)			After Allowance Communication to Group					
Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences					
Amendment/Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition			Proprietary Information					
Affidavits/declarations(s)		Petition to Convert to a Provisional Application			Status Letter					
Preliminary Amendme	ent									
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		×	Other Enclosures (Listed below):					
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Request to Rescind Previous Non-Publication Request		Request for Refund			Credit Card Payment Form					
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Documents  Response to Missing Parts/		•			MAR 3 0 2004					
Incomplete Application					Technology Center 2100					
Response to Miss Parts under 37 Cl or 1.53					33 -1.50					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
Firm <i>Or</i> John Stattler, Reg. No. 36,285 of Stattl					sen & Adeli LLP					
Signature M Ditti										
Date March 24, 2004										
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**Application Number** 

**First Named Inventor** 

Filing Date

Group Art Unit

Date

March 24, 2004

Based on PTO/SB/08 a & b (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of

information unless it contains a valid OMB control number **Application Number** 09/451,575 Substitute for form 1449A/PTO 6 2004 **Filing Date** 11/30/1999 MFORMATION DISCLOSURE **First Named Inventor** Ho Wing Sit STATEMENT BY APPLICANT Group Art Unit 2134 (use as many sheets as necessary) **Examiner Name** Sheet 1 Attorney Docket Number ACCE.P0004

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	FOREIGN PATENT DOCUMENTS									
Examiner* Initials	Cite No.1	Fo Office <sup>3</sup>	reign Patent Do Number <sup>4</sup>	cument Kind Code (if known) <sup>5</sup>	Date of Publication MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⊤6		
	1.	wo	97/43717	A1	11-20-1997	Identifying, managing, accessing, and tracking digital objects and associated rights and payments				
	2.	wo	97/12460	A1	08-23-1996	Document Authentication System and Method				
	3.	EP	0 940 945	A2	09-08-1999	A Method and Apparatus for Certification and Safe Storage of Electronic Documents.				
	4.	EP	0 845 733	A2	11-24-1997	Implementing digital signatures for data streams and data archives.				

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number(optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language

This collection of information is required by 37CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.